California Air Resources Board

Quantification Methodology for the Department of Transportation Low Carbon Transit Operations Program

Greenhouse Gas Reduction Fund Fiscal Year 2016-17



Note:

Methodologies are updated as new quantification or Program information is available. ARB also accepts public comment on draft versions of methodologies. Please check the ARB Quantification website to download the most recent methodologies and tools and for public comment periods.

https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/auctionproceeds.htm

DRAFT October 28, 2016

Quantification Methodology for the Caltrans FY 2016-17 LCTOP

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Section A. Introduction

The goal of California Climate Investments is to reduce greenhouse gas (GHG) emissions and further the purposes of the Global Warming Solutions Act of 2006, known as Assembly Bill (AB) 32. The California Air Resources Board (ARB) is responsible for providing the quantification methodology to estimate the GHG emission reductions and other benefits from projects receiving monies from the Greenhouse Gas Reduction Fund (GGRF). ARB develops these methodologies based on the project types eligible for funding by each administering agency. ARB staff periodically review each quantification methodology to evaluate its effectiveness and update methodologies to make them more robust, user-friendly, and appropriate to the projects being quantified.

For the Department of Transportation's (Caltrans) Low Carbon Transit Operations Program (LCTOP), ARB staff developed this quantification methodology and LCTOP GHG Calculator Tool to provide methods for estimating net GHG emission reductions of each proposed project (Section B), provide instructions for documenting and supporting the estimate (Section C), and outline the process for tracking and reporting GHG and other benefits once a project is funded (Section D).

This methodology is based on the "Methods to Find the Cost-Effectiveness of Funding Air Quality Projects for Evaluating Motor Vehicle Registration Fee Projects and Congestion Mitigation and Air Quality Improvement Projects" (CMAQ Methods) to estimate the reduction in vehicle miles traveled (VMT) and associated GHG emission reductions based on transportation characteristics of the proposed project.

Tools

The CMAQ Methods are used statewide, are publicly available, and are subject to regular updates to incorporate new information. The CMAQ Methods were developed by ARB and Caltrans, and are used statewide by transportation agencies to evaluate criteria pollutant emission reductions from transportation projects competing for State motor vehicle fee and federal CMAQ funding. The CMAQ Methods were used as the basis for developing the GHG emission reduction estimates for the LCTOP project types. All of the equations and assumptions needed for this quantification method are included in this document and some assumptions have been modified, as necessary. The CMAQ Methods document can be downloaded from https://www.arb.ca.gov/.

Methodology Development

ARB and Caltrans developed this quantification methodology consistent with the guiding implementation principles of California Climate Investments, including ensuring transparency, accountability, and outreach and access for disadvantaged communities, as described in ARB's *Cap-and-Trade Auction Proceeds: Funding Guidelines for Agencies Administering California Climate Investments* (Funding Guidelines). ARB and Caltrans developed this quantification methodology through a public process to estimate the outcomes of proposed projects and track the results of funded projects. The implementing principles ensure that the methodology will:

- Apply at the project-level;
- Provide uniform methods to be applied statewide, and be accessible by all applicants;
- Use existing and proven tools and methods;
- Reflect relationships between mode shifts and corresponding VMT reductions and GHG emissions-reductions that are conservative and supported by empirical literature; and
- Use project-level data, where available and appropriate.

ARB reviewed peer-reviewed literature and tools and consulted with experts, as needed, to determine methods appropriate for the LCTOP project types. ARB also consulted with Caltrans to determine project-level inputs available. The methods were developed to provide estimates that are as accurate as possible with data readily available at the project level.

Updates

ARB updated this quantification methodology from the previous version.² The changes include:

- Updates to the GHG emission factors used in calculating emission reductions;
- A revamp of the LCTOP GHG Calculator³ Tool to simplify the use of the quantification methodology and allow for project-specific input;
- Modification to allow fuel-specific input (i.e., Carbon Intensity or grid-emission factor);
- Ability to calculate the operation of hybrid vehicles and the purchase of zeroemission buses; and
- Additional definitions and clarity to the text.

¹ <u>https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/arb-funding-guidelines-for-ca-climate-investments.pdf</u>

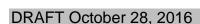
² LCTOP Quantification Methodology for FY 2015-16, December 2015.

³ LCTOP GHG Emission Reduction Calculator for FY 2015-16, December 2015.

Program Assistance

ARB and Caltrans staff will review the quantification portions of the LCTOP project applications to ensure that the methods described in this document were properly applied to estimate the GHG emission reductions for the proposed project. Applicants should use the following resources for additional questions and comments:

- Applicants are encouraged to check the frequently asked questions (FAQ) page regularly during the application process, will be posted at: https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/quantification.htm.
- Questions on this document should be sent to GGRFProgram@arb.ca.gov.
- For more information on ARB's efforts to support implementation of GGRF investments, see: https://www.arb.ca.gov/auctionproceeds.
- Questions pertaining to the LCTOP should be sent to LCTOPcomments@dot.ca.gov.



LCTOP Project Types

Caltrans and ARB developed project types that meet the objectives of LCTOP and for which there are methods to quantify GHG emission reductions. Other project features may be eligible for funding under LCTOP; however, each project requesting GGRF funding must include at least one of the following project types for FY 2016-17 from Table 1.

Table 1. Eligible Project Types by Category

Project Type	Description
Capital: Capital Im	provements (that increase mode share)
Install new transit facilities	Install new transit facilities (stops/stations) for local bus, intercity rail, commuter bus or rail service or that connect to bike paths/pedestrian path.
Upgrade transit facilities	Upgrade transit stops/stations (e.g., bike-sharing facilities; bike racks/lockers; covered benches; energy efficient lighting) to support active transportation and encourages ridership.
Upgrade transit vehicle(s)	Upgrade transit vehicles (e.g., bicycle racks on buses; bicycle storage on rail cars) to support active transportation and encourage ridership.
Operations: Service	ce Improvements (that increase mode share)
Transit vouchers	Free or reduced-fare transit vouchers.
Network/fare integration	Network/fare integration (e.g., universal fare card that can be used for multiple transit systems).
Operations: New/E	Expanded Service
Implement new transit service	Implement new transit service (new routes/lines).
Expand/Enhance transit service	Expand/Enhance transit service (extend transit routes, extend service hours, increase frequency of service, increase capacity [e.g., add more buses or rail cars to existing routes]).
Provide alternative transit options	Provide alternative transit options that use zero-emission or hybrid vehicles to improve mobility (e.g., vanpooling, shuttles, bikesharing).
Capital: Cleaner V	ehicles
Purchase zero- emission/hybrid vehicle(s).	Rolling stock acquisition. GHG emission reductions achieved by comparing each zero-emission or hybrid purchase against a conventional (diesel) vehicle as the baseline for each project.
Purchase replacement zero-emission/hybrid vehicle(s).	Rolling stock acquisition. Requires a vehicle to be replaced. GHG emission reductions achieved by comparing each zero-emission or hybrid purchase against the replaced (project specific) vehicle as the baseline for each project.

Section B of this quantification methodology details the methods to use based on the project type(s) proposed.

Section B. Greenhouse Gas Quantification Methodology

GHG Emission Reduction Quantification Approach

This quantification methodology accounts for GHG emission reductions based on estimated ridership increases and corresponding passenger auto VMT reductions. Some projects may include additional GHG emission reductions such as the replacement of existing transit vehicle or from displaced fuel (e.g. reduced deadhead mileage).

Methods used in the GHG Calculator Tool for estimating the GHG emission reductions by project category are provided in Appendix B. In general, the GHG emission reductions are calculated using the following approaches:

Table 2. General Approach to GHG Quantification by Project Category

Capital/Service Improvements

 $GHG\ Emission\ Reductions = GHG\ Emission\ Reductions\ of\ Displaced\ Autos$

New/Expanded Service

GHG Emission Reductions =

GHG Emission Reductions of Displaced Autos
- GHG Emissions of New/Expanded Service

Cleaner Vehicles

GHG Emission Reductions =

GHG Emissions of Baseline Vehicle - GHG Emissions of New Vehicle

Displaced Fuel

GHG Emission Reductions = GHG Emissions of Displaced Fuel

Overview

Applicants will follow the steps outlined in Figure 1 to estimate the GHG emission reductions from the proposed project. Detailed instructions for each step are provided on subsequent pages. An example for a New/Expanded Service is included in Appendix A.

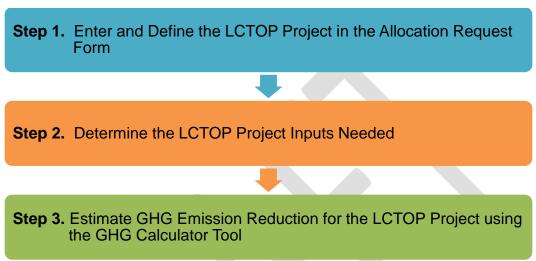


Figure 1. Steps to Estimating GHG Emission Reductions

Note: Applicants with more than one project type must use multiple LCTOP GHG Calculator Tools to quantify the GHG emission reductions from each project.

Step 1: Determine the LCTOP Project Inputs Needed from the Allocation Request

Applicants must have completed the LCTOP Allocation Request form **Request** tab in order to complete this step. The LCTOP Allocation Request form can be downloaded from https://www.dot.ca.gov/hq/MassTrans/lctop.html.

Users should begin with the **Read Me** tab, which contains instructions and prompts users to enter project information. The Project Benefits section in the **Request** tab identifies inputs required by the user, generally requiring project-specific data or assumptions. Input and output fields are color coded:

- Yellow fields indicate a selection from a drop-down box or direct user input is required.
- Orange fields indicate inputs that may be required based on user entries and the calculation methods.
- Green fields indicate calculation fields that are automatically populated based on user entries and the calculation methods.

LCTOP Allocation Request Form

	Project	Benefits
example expanded/ enhanced tran	sit service will improve head	his project will reduce greenhouse gas emissions. For lways thus making transit a more convenient option of a Traveled (VMT) and reducing GHG.
Greenhouse Gas Reductions - data provided	Please provide quantitative i	information requested below and explanation/support for the
	Value	Explanation
Year 1 (Yrl) - First year of service, or year that capital improvements will be completed.		
Vear F (YrF) - Final year that the service is funded or the final the final year of the capital improvements usefull life. Yr I & YrF cannot be the		
some Project Yr1 Ridership - Estimated annual ridership contributed by the new service or capital improvement in Yr1.		
Project F Yr. Ridership - Estimated annual ridership contributed by the new		

Step 2: Identify the LCTOP Project Inputs Needed

Table 3 identifies the required data inputs needed to estimate the GHG emission reductions for proposed projects with the LCTOP GHG Calculator Tool. Calculator tool inputs in orange must match inputs from the LCTOP Allocation Request form.

Table 3. Required GHG Calculator Tab Inputs by Project Category

Input Fields	Capital/Service	New	Cleaner
	Improvements	Service	Vehicles
Project Classification	✓	✓	✓
Project Type	✓	✓	✓
Service Type	✓	✓	✓
Region	✓	✓	✓
Subregion	✓	✓	✓
Year 1(Yr1)	✓	✓	✓
Year F(YrF)	✓	✓	✓
Project Yr1 Ridership	✓	✓	
Project YrF Ridership	✓	✓	
Adjustment Factor (A)	✓	✓	
Trip Length (L)	✓	✓	
Vehicle Type		✓	✓
Hybrid Vehicle		✓	✓
Fuel Type		✓	✓
Project Specific Emission Factor		Optional	Optional
Model Year		✓	✓
Annual VMT		✓	✓
Annual Fuel		Optional	Optional
Additional Benefits: Displaced Emissions	Optional: Displaced Fuel	Optional: Displaced Fuel	Optional: Vehicle Replacement
Fuel Type	✓	✓	✓
Model Year			✓
Annual VMT			✓
Annual Fuel	✓	✓	Optional
FY 2016-17 LCTOP Funds	✓	✓	✓
Requested Total LCTOP Funds Requested	✓	√	✓
Total GGRF Funds Requested	-/	√	✓

Step 3: Estimate GHG Emission Reduction for the LCTOP Project using the GHG Calculator Tool

Applicants must use the LCTOP GHG Calculator Tool to estimate GHG emission reductions associated with proposed LCTOP-funded projects. The LCTOP GHG Calculator Tool can be downloaded from:

https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/quantification.htm

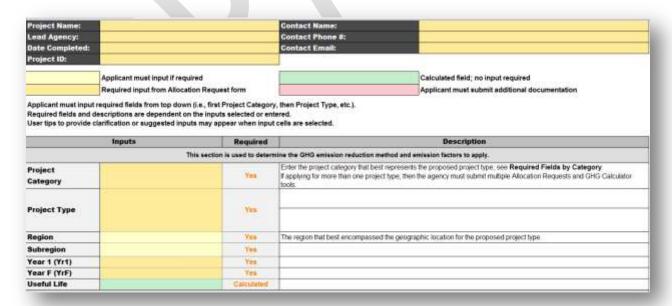
Users should begin with the **Read Me** tab, which contains instructions to estimate GHG emission reductions. The **GHG Calculator** tab identifies inputs required by the user, generally requiring project-specific data or assumptions. Key terms are defined under the **Description** column and are described with respect to project category and project type. Input and output fields are color coded:

- Yellow fields indicate a selection from a dropdown box or direct user input is required.
- Orange fields indicate inputs that must match user entries from the Allocation Request form, if applicable.
- Red fields indicate an optional direct user input; additional documentation is required to support entry.

Helpful tips to provide clarification or suggested inputs may appear when input cells are selected.

 Green fields indicate calculation fields that are automatically populated based on user entries and the calculation methods.

LCTOP GHG Calculator Tool



Project Summary

The **Summary** tab calculates and displays the annual and total auto VMT displaced and GHG emission reductions as well as the estimated GHG emission reductions per LCTOP GGRF dollar and per total GGRF dollar requested, as described below. Key terms are defined in the LCTOP GHG Calculator Tool under the **Description** column.

- Total Project GHG Emission Reductions is equal to the sum total of each of the GHG emission reductions calculated in Section B and are automatically summed in the LCTOP GHG Calculator Tool in the Summary tab.
- **LCTOP GHG Emission Reductions** is equal to the Total Project GHG Emission Reduction prorated as:

```
LCTOP\ GHG\ Emission\ Reductions = \\ Total\ Project\ GHG\ Emission\ Reductions * \left(\frac{Total\ LCTOP\ GGRF\ Funds\ Requested\ (\$)}{Total\ GGRF\ Funds\ Requested\ (\$)}\right)
```

Applicants should enter the Total LCTOP GGRF Funds Requested (\$) and Total GGRF Funds Requested (\$) into the LCTOP GHG Calculator Tool for all project features.

The Total LCTOP GGRF Funds Requested (\$) is equal to the amount of FY 2016-17 LCTOP dollars the applicant is requesting from Caltrans, plus all LCTOP dollars from Caltrans that have previously been awarded to the same project and any future LCTOP dollars that the project plans to apply for.

The Total GGRF Funds Requested (\$) is equal to the Total LCTOP Funds Requested (\$), plus all GGRF dollars that have previously been awarded to the same project and any other GGRF dollars that the project has or plans to apply for. For a list of GGRF funded programs, go to: https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ggrfprogrampage.htm.

If no other GGRF funds have been requested, the Total GGRF Funds Requested (\$) will be the same amount as the Total LCTOP GGRF Funds Requested. The LCTOP GHG Calculator Tool; will provide the Total LCTOP GHG Emission Reductions.

• LCTOP GHG Emission Reductions per Dollars of LCTOP GGRF Funds Requested is calculated as:

LCTOP GHG Emission Reductions (MTCO₂e)
Total LCTOP GGRF Funds Requested (\$)

The LCTOP GHG calculator will provide the LCTOP GHG Emission Reductions per LCTOP GGRF Funds Requested.

 Total Project GHG Emission Reductions per Dollars of GGRF Funds Requested is calculated as:

> <u>Total Project GHG Emission Reductions (MTCO₂e)</u> Total GGRF Funds Requested (\$)

The LCTOP GHG calculator will provide the Total Project GHG Emission Reductions per GGRF Funds Requested.



Section C. Documentation

In addition to LCTOP application requirements, ARB requires each project applying for LCTOP funding to document results from the use of this quantification methodology, including supporting materials to verify the accuracy of project-specific inputs.

Applicants are required to provide electronic documentation that is complete and sufficient to allow the calculations to be reviewed and replicated. Paper copies of supporting materials must be available upon request by Caltrans staff.

The following checklist is provided as a guide to applicants; additional data and/or information may be necessary to support project-specific input assumptions.

	Documentation Description	Completed
1.	Project description, including excerpts or specific references to the location in the LCTOP allocation request form of the project information necessary to complete the applicable portions of the quantification methodology	
2.	Populated LCTOP GHG Calculator Tool file (in .xlsm) with worksheets applicable to the project populated (ensure that the GHG emission reduction and GHG emission reduction/GGRF \$ requested fields in the summary worksheet contain calculated values)	
3.	If the Total GGRF funds requested are different than the LCTOP GGRF funds requested, provide an explanation of the other GGRF program(s) where funding is sought, including the fiscal year of the application(s)	
4.	Any other information as necessary and appropriate to substantiate inputs	

Section D. Reporting after Funding Award

Accountability and transparency are essential elements for all GGRF California Climate Investment projects. As described in ARB's Funding Guidelines, each administering agency is required to track and report on the benefits of the California Climate Investments funded under their program(s). Each project funded by the GGRF is expected to provide a quantifiable GHG emission reduction. The previous sections of this document provide the methods and tools to estimate the GHG emission reduction of a proposed project based on project characteristics and assumptions of expected conditions and activity levels. This section explains the minimum reporting requirements for administering agencies and funding recipients during project implementation, termed Phase 1, and after a project is completed, termed Phase 2. Table 4 below shows the project phases and when reporting is required.

Funding recipients have the obligation to provide, or provide access to, data and information on project outcomes to Caltrans.

It is the responsibility of Caltrans to collect and compile project data from funding recipients, including the GHG emission reduction and information on benefits to disadvantaged communities.

Table 4. Quantification and Reporting By Project Phase

	Timeframe	Quantification Methods	
Project Selection	Period from solicitation to funding awards. Applicant submits application to Caltrans by due date in solicitation materials.	All applicants use methods in ARB's quantification methodology to estimate the GHG emission reduction of the project.	
Phase 1	Covers the period from the beginning of the project until it becomes operational or the initial implementation is completed.	All funded projects use methods in ARB's quantification methodology to update initial estimate of GHG emission reduction, as needed, based on project changes.	
Phase 2	Starts after Phase 1 is complete and a project becomes operational.	GHG reduction achieved are quantified and reported for a subset of funded projects.	

Phase 1 reporting is required for all LCTOP funded projects. Caltrans will collect and submit data to ARB to satisfy Phase 1 reporting requirements. Funding recipients must report any changes that impact GHG emission reduction estimates (i.e. assumptions or quantitates) to Caltrans prior to project completion.

Phase 2 reporting is required for only a subset of LCTOP projects and is intended to document actual project benefits achieved after the project becomes operational.

Quantification Methodology for the Caltrans FY 2016-17 LCTOP

Phase 2 data collection and reporting will not be required for every project. Caltrans will be responsible for identifying the subset of individual projects that must complete Phase 2 reporting, identifying who will be responsible for collecting Phase 2 data, and for reporting the required information to ARB. ARB will work with Caltrans to address Phase 2 procedures, including but not limited to:

- The timelines for Phase 2 reporting, i.e., when does Phase 2 reporting begin, how long will Phase 2 reporting be needed.
- As applicable, approaches for determining the subset of projects that need Phase 2 reporting (i.e., how many X projects out of Y total projects are required to have Phase 2 reporting).
- Methods for monitoring or measuring the necessary data to quantify and document achieved GHG reductions and other select project benefits.
- Data to be collected, including data fields needed to support quantification of GHG emission benefits.
- Reporting requirements for transmitting the data to ARB or Caltrans for program transparency and use in reports.

Once the Phase 2 quantification method and data needs are determined, ARB will develop and post the final ARB approved Phase 2 methodology for use in Phase 2 reporting.

Appendix A. Example Project

Introduction

The following is a hypothetical project⁴ to demonstrate how the FY 2016-17 LCTOP Quantification Methodology would be applied. This hypothetical project does not provide examples of the supporting documentation that is required of actual project applicants.

Overview of the Proposed Project

The lead agency plans to expand the existing weekday commuter express service by adding four round-trips per day. The proposed project is determined to be best represented by:

- Project category: Operations: New/Expanded Service, and
- Project type: Expand/Enhance transit service

The proposed project operates predominantly within the Sacramento Valley air basin with the following project features:

- First Year (Yr1) of service: 2017
- Final Year (YrF) of service: 2018
- Yr1 Ridership: 62,400
- YrF Ridership: 62,400
- Length of Average Trip (L): 16 miles
- Vehicle Type: 2015 hybrid-diesel Over-Road Coach
- Annual VMT: 37,440 miles are estimated to operate the expanded service
- FY 2016-17 LCTOP funds requested: \$93,860
- No additional LCTOP GGRF funds requested
- No additional GGRF funds requested
- Where project specific data is not available, ARB defaults were used.

Methods to apply

New/Expanded Service

GHG Emission Reductions =

GHG Emission Reductions of Displaced Autos
— GHG Emissions of New/Expanded Service

⁴ The hypothetical project has not undergone verification of any LCTOP Program requirements; all assumptions about location type and features are for quantification methodology demonstration purposes only.

Step 1: Determine the LCTOP Project Inputs Needed from the Allocation Request

General Information (Request Tab)

• Project Name: Expanded Commuter Express Service

• Lead Agency: Sacramento Transit

Date Completed: 1/15/2017
Project ID, if applicable: N/A
Contact Name: John Smith

Contact Phone Number: (916) 445-4623Contact Email: john.smith@sactransit.org

• Date Completed: 1/15/2017

• Project Category: Operations: New/Expanded Service

Project Type: Expand/Enhance transit service
FY 16-17 LCTOP funds requested: \$93,860.00

• Total amount of LCTOP funds requested: \$93,860.00

• Total amount of GGRF funds requested: \$93,860.00

Project Specific Inputs (Request Tab)

Year 1 (Yr1): 2017Year (YrF): 2018Yr1 Ridership: 62,400YrF Ridership: 62,400

Adjustment Factor (A): 0.83 (default)

Length of Average Trip (L): 16

Step 2: Identify the LCTOP Project Inputs Needed

Greenhouse Gas Quantification Inputs (GHG Calculator Tab)

Region: Air Basin

• Subregion: Sacramento Valley

Service Type: Intercity/Express Bus (Long Distance)

• Vehicle Type: Over-Road Coach

Hybrid Vehicle: Yes
Fuel Type: Diesel (gal)
Model year: 2015
Annual VMT: 37,440

Step 3: Estimate GHG Emission Reduction for the LCTOP Project using the GHG Calculator Tool

Project Name:	Expanded Commuter Express	Service	Contact Name:	John Smith	
Lead Agency:	Bacramento Transit	7118811	Contact Phone #:	(916) 445-4023	
Date Completed:	1/15/2017		Contact Email:	in Lind Gridden Leg	
Project ID	To be filled out by Caffre	ma			
	Applicant must input if required			Calculated field; no input required	
	Required input from Allocation Reque	ıt Form		Applicant must submit additional documentation	
Required fields and des	guired fields from top down (i.e., first Pro criptions are dependent on the inputs s ification or suggested inputs may appea	elected or enter	red.		
i e	Inputs	Required		Description	
	This section is	used to determ	ine the GHG emission reduction meth	od and emission factors to apply.	
Project				epresents the proposed project type; see Required Fields by Category	
Category	Operations: New/Expanded Service	Yes	tools	type, then the agency must submit multiple Allocation Requests and GHG Calculator	
Project Type	Expand/Enhance transit service	You	le.g., add more buses or rail cars to	end harst routes, extend service hours, increase frequency of service, increase capacity existing routes(). 3 Emissions of Displaced Autos – GHG Emissions of New Service	
Region	Air Basin	Yes	The region that best encompass the	geographic location for the proposed project type.	
Subregion	Sacramento Valley	Yes	The air basin where the majority of the	ne service occurs.	
Year 1 (Yr1)	2017	Yes	The first year of service - funded by F	FY 2016-17 LCTOP funds	
Year F (YrF)	2018	You	The final year of service - funded by	FY 2016-17 LCTOP funds	
Useful Life	1	Calculated	The number of years the service is fu	indeed by FY 2016-17 LCTOP funds	
CONTRACTOR CONTRACTOR	This section is	used to estimat	e the GHG emissions from displaced a	uno vehicle miles traveled (VMT).	
Service Type	Intercity/Express Bus (Long Distance)	Yes		ness Bus (Long Distance), Light Rail, Vanpool, etc.) benefiting directly from the condit multiple services, select Multi-modal.	
Yr1 Ridership	62,400	Yes	The increase in unlinked passenger	trips directly associated with the proposed project in the first year.	
YrF Ridership	62,410	Yes	The increase in unlinked passenger expected to change, the same value	trips directly associated with the proposed project in the final year. If the ridership is not should be inout for Yr1 and YrF.	
Adjustment Factor	8.83	Yes	Discount factor applied to aresule indentity to account for transit dependent indens. Use: documented project specific data or system average developed from a recent, ritalistically will survey or default.		
(A) Length of Average					
Trip (L)	16	Yes	Annual pessenger miles over unlinked hips directly associated with the proposed project.		
GHG Emission Reductions	427.08	Calculated	The estimated CHC emission reductions in metric ton (MT) of carbon closeds equivalent (CO2e) from displaced auto VMT from the proposed project.		
	This section is used to estimate the net G	HG emission rec	ductions from newlexpanded service of	or from the purchase of new zero-emission/hybrid vehicle(s).	
Vehicle Type	Over-Road Coach	Yes	The vehicle type (e.g. Transit Bus. 5)	Breelcar, Ferry, etc.) that will operate the new senece.	
Hybrid Vehicle	Yes	Yes	is the vehicle that will operate the ner		
Fuel Type	Diesel (gal)	Yes		Ic.) of the vehicle that will operate the new service.	
Project Specific				itle on approved carbon intensity value under the Low Carbon Fuel Standard or a	
Emission Factor		Oppone	verifiable grid-emission factor, must		
Model Year	2016	Yes	The engine model year of the vehicle	that will operate the new survice	
Annual VMT	37,440	Yes	The estimated annual VMT required alternatively use Annual Fuet.	to operate the new service (e.g., 72,000). For rail and ferry vehicles, applicants may	
Annual Fuel		No	Not applicable for this vehicle type.		
GHG Emissions	69.68	Calculated	The estimated CHC emissions (MT)	CCQs) of the vehicle that will operate the new service.	
Not GHG Benefit	367.40	Cateulated	The estimated net GHG emission re-	ductions (MTCO2e) of the new service.	
	This section is used to estimate the	net GHG emissis	on reductions from vehicle replacemen	nt or the GHG emission reductions from displaced fuel.	
Additional Benefits; Displaced GHG Emissions	Not Applicable	Yes	Not applicable for this project type		
	This section is used	to gather the to	tal Greenhouse Gas Reduction Fund (GGRF) funding requested or awarded.	
FY 2016-17 LCTOP Funds Requested	\$93,860.00	Yes	The amount of FY 2016-17 LCTOP of for FY 2016-17.	dollars the applicant is requesting from Caltrans per State Controller's Office Eligible 8st	
Total LCTOP GGRF Funds Requested	893,860.00	Yes	The amount equal to FY 2018-17 LCTOP Funds Requested plus all LCTOP dollars from Catrans that have proviously been awarded to the same project and any future LCTOP dollars that the project plans to apply for. If no other LCTOP funds have been requested, the Total LCTOP Funds Requested (5) will be the same amount as the FY 2018-17 LCTOP Funds. Requested.		
Total GGRF Funds Requested	\$53,860.00	Yes	The amount equal to the Total LCTOP Funds Requested plus all GGRF dollars that have previously been awarded to the same project and any other GGRF dollars that the project has or plans to apply for. If no other GGRF hinds have been requested, the Total GGRF Funds Requested (5) will be the same amount as the Total LCTOP GGRF Funds Requested.		
Yatal Business Street	This section calc	dates the green	house gas (GHQ) emission reductions	s achieved by the proposed project.	
Total Project GHG Reductions	357.40	Calculated	Total GHG emission reductions (MTCO ₂ e) from the project during the useful life.		
LCTOP Project GHG Reductions	357.40	Calculated	The prorated Total Project GHG Reductions based on the Total LCTOP GGRF funds over Total GGRF funds requested.		

Appendix B. Equations Supporting the LCTOP GHG Calculator Tool

The GHG emission reductions from the project are quantified within the LCTOP GHG Calculator Tool using the equations below. The GHG emission reductions are quantified using the equations below for Year 1 and Year F.

A. GHG Emission Reductions from Capital/Service Improvements

The GHG emission reductions from Capital/Service Improvements projects that result in an increase in ridership are calculated as the GHG emission reductions from displaced autos. Equation 1 is used to calculate the annual VMT reductions from the proposed project and Equation 2 is used to calculate the GHG emission reductions associated with auto VMT reductions.

Displaced Autos

GHG Emission Reductions =

GHG Emission Reductions of Displaced Autos

Equation 1: Annual Auto VMT Reduced in Miles per Year

AutoVMT = [(R) * (A) * (L)]

Where,

R = Increase in unlinked passenger trips directly associated

with the proposed project

A = Adjustment factor to account for transit dependency
L = Passenger-miles divided by unlinked trips directly

associated with the proposed project.

<u>Units</u> Annual

Riders
Unitless

Rider-miles

Equation 2: GHG Emission Reductions of Displaced Autos

GHG Emissions of Displaced Autos =
$$\frac{[(AutoVMT) * (AVEF)]}{1,000,000}$$

Where,
AutoVMT = Annual auto VMT reduced (see Equation 1)
AVEF = Auto Vehicle Emission Factor; see Table C-1

1,000,000 = Conversion factor

<u>Units</u> Miles

gCO2e/mile Grams/MT

B. GHG Emission Reductions from New/Expanded Service

The GHG emission reductions from New/Expanded Service projects are calculated as the difference between the GHG emission reductions from displaced autos and GHG emissions from the new service calculated using Equation 3.

New/Expanded Service

 $GHG\ Emission\ Reductions = \ GHG\ Emission\ Reductions\ of\ Displaced\ Autos \ - GHG\ Emissions\ of\ New/Expanded\ Service$

Equation 3: GHG Emission Reductions from New/Expanded Service

GHG Emissions of New/Expanded Service =
$$\frac{[(NSVMT) * (NSEF)]}{1,000,000}$$

Or (for train/ferry service use only)

$$= \frac{[(NSFuel) * (FuelEF)]}{1.000.000}$$

Where, NSVMT	_	The estimated annual VMT required to operate the new	<u>Units</u> Miles
110 1111	_	service	Williou
NSEF	=	Emission factor based on service type; see Table C-1	gCO2e/mile
NSFuel	=	The estimated annual fuel required to operate the new	unit of fuel
		service- only available for train and ferry services	
FuelEF	=	Emission factor based on fuel type; see Table C-2	gCO2e/ unit of fuel
1,000,000	=	Conversion factor	Grams/MT

C. GHG Emission Reductions from Cleaner Vehicles

The GHG emission reductions from the acquisition of Cleaner Vehicles are calculated as the difference between the displaced baseline vehicle emissions and new vehicle emissions. GHG emissions from both the displaced and new vehicle are calculated using Equation 4.

Cleaner Vehicles

GHG Emission Reductions =
GHG Emission Reductions of Displaced Vehicle - GHG Emissions of New Vehicle

Equation 4: GHG Emission Reductions from Cleaner Vehicles

GHG Emissions of Displaced/New Vehicle = $\frac{[(AnnualVMT)*(VehicleEF)]}{1,000,000}$

Or

GHG Emissions of Displaced/New Vehicle = $\frac{[(AnnualFuel) * (FuelEF)]}{1,000,000}$

Where,
AnnualVMT = The estimated annual VMT of the vehicle to be acquired Miles

VehicleEF = Emission factor based on vehicle type; see Table C-1 gCO2e/mile

AnnualFuel = The estimated annual fuel of the vehicle to be acquired - unit of fuel

only available for train and ferry services

FuelEF = Emission factor based on fuel type; see Table C-2 gCO2e/unit of fuel

1,000,000 = Conversion factor Grams/MT

D. GHG Emission Reductions from Displaced Fuel

The GHG emission reductions from Displaced Fuel are calculated using Equation 5.

Displaced Fuel

GHG Emission Reductions =

GHG Emission Reductions of Displaced Fuel

Equation 5: GHG Emission Reductions from New Service

GHG Emissions of Displaced Fuel = $\frac{[(AnnualFuel)*(FuelEF)]}{1,000,000}$

Where,

AnnualFuel = The estimated annual displaced fuel to be realized as a

result of the project

FuelEF = Emission factor based on fuel type; see Table C-2

1,000,000 = Conversion factor

<u>Units</u>

unit of fuel

gCO2e/ unit

of fuel Grams/MT

Appendix C. Emission Factors

Emission Factor Lookup Tables

GGRF programs estimate transportation-related emissions using a "Well-to-Wheels" approach, which consists of emissions resulting from the production and distribution of different fuel types and any associated exhaust emissions. LCTOP applicants use project-specific data to calculate new or avoided vehicle miles traveled (VMT) and VMT is converted to greenhouse gas emissions using Well-to-Wheels emission factors embedded in the LCTOP GHG Calculator Tool. Table C-1 provides links to the relevant Lookup tables used in the LCTOP GHG calculator. A detailed methodology of how the emission factors were developed is provided below.

Table C-1. Emission Factor Look Up Tables

Vehicle Type	Link to Emission Factor Lookup Tables			
Auto	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_autos_draft.pdf			
Cut-A-Way	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_cutaway_draft.pdf			
Over-Road Coach	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_mc_draft.pdf			
Train*	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_train_draft.pdf			
Transit Bus	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_ubus_draft.p_df			
Van	https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/ef_van_draft.pd f			

^{*}Train lookup tables include Heavy Rail, Light Rail and Streetcar emission factors.

Ferry Emission Factors

Due to the high variability in ferries, standardized emission factors are not available for new ferry service. Emissions for ferries require project-specific information for the estimated quantity and type of fuel used annually, which are used with the appropriate carbon content factor from Table C-2 to convert fuel to GHG emissions. The same emission factor will be used for both Year 1 and Year F.

Train Emission Factors

Due to the high variability in trains, applicants may use project-specific information on the estimated quantity and type of fuel used annually, which are used with the appropriate carbon content factor from Table C-2 to convert fuel to GHG emissions. The same emission factor will be used for both Year 1 and Year F.

Table C-2. Fuel-Specific Factors

14510 0 21 1 401 0 0 0 0 110 1 40 10 10						
Fuels (units)	Energy Density	Carbon Intensity	Carbon Content*			
Biodiesel (gal)	126.13 (MJ/gal)	65.50 (gCO2/MJ)	8,261.64 (gCO ₂ e/gal)			
CNG (ft ³)	1.04 (MJ/ft ³)	78.37 (gCO2/MJ)	81.28 (gCO ₂ e/ft ³)			
Diesel (gal)	134.48 (MJ/gal)	102.01 (gCO2/MJ)	13,718.04 (gCO ₂ e/gal)			
Electric (KWh)	3.60 (MJ/KWh)	105.15 (gCO2/MJ)	378.54 (gCO ₂ e/KWh)			
Gas (gal)	115.83 (MJ/gal)	98.47 (gCO2/MJ)	11,405.84 (gCO ₂ e/gal)			
Hydrogen (kg)	119.99(MJ/kg)	88.33 (gCO2/MJ)	10,598.43 (gCO ₂ e/kg)			
LNG (gal)	78.83 (MJ/gal)	94.41 (gCO2/MJ)	7,442.70 (gCO ₂ e/gal)			
Renewable Diesel (gal)	129.65 (MJ/gal)	43.31 (gCO2/MJ)	5,615.12 (gCO ₂ e/gal)			
Renewable Natural Gas (ft ³)	1.04 (MJ/ft3)	18.11 (gCO2/MJ)	18.78 (gCO ₂ e/ft ³)			

^{*}Carbon Content Emission Factors are calculated using fuel type Energy Density (megajoule (MJ) per unit of fuel) and the fuel type Carbon Intensity (grams of CO2e per MJ).

Table C-3. Energy Economy Ratios

Fuels (units)	EER Values Relative to Diesel	EER Values Relative to Gas
Biodiesel (gal)	1.0	
CNG (ft ³)	0.9	1.0
Diesel (gal)	1.0	
Electric (KWh)	4.2 (Bus) 4.6 (Heavy Rail) 3.3 (Light Rail) 3.1 (Street Car)	3.4
Gas (gal)	0.9	1.0
Hydrogen (kg)	1.9	2.5
LNG (gal)	0.9	1.0
Renewable Diesel (gal)	1.0	-
Renewable Natural Gas (ft ³)	0.9	1.0

The following sections detail how the emission factors were developed.

The emission factors were developed using fuel consumption rates from ARB's Mobile Source Emission Factor Model (EMFAC 2014) and carbon intensity values for different fuel types from ARB's Low Carbon Fuel Standard (LCFS) Program. This approach provides consistency amongst transportation-related GGRF programs and ARB's Low Carbon Fuel Standard (LCFS) Program.

Auto Vehicle Emission Factors

Passenger (auto) vehicle emission factors (**AVEF**) were derived using the following steps.

- 1. Emissions by county for each calendar year from 2017 through 2050 were downloaded from EMFAC 2014 with the following parameters:
 - a. Annual Average
 - b. EMFAC2011 vehicle categories LDA, LDT1, LDT2, and MDV
 - c. Aggregated model year
 - d. Aggregated speed
 - e. Gasoline fuel
- 2. The auto fuel consumption rate (AFCR, in gallons of gasoline per mile) was calculated using the total gallons of gasoline used by each vehicle category divided by the total mileage by vehicle category by county and year, using the following equation:

$$AFCR = \frac{(Fuel_Consumption_{LDA} + Fuel_Consumption_{LDT1}}{+Fuel_Consumption_{LDT2} + Fuel_Consumption_{MDV}) * 1,000}{VMT_{LDA} + VMT_{LDT1} + VMT_{LDT2} + VMT_{MDV}}$$

Where:

Fuel_Consumption: the total fuel consumption for the vehicle type, in 1,000 gallons per day, from EMFAC 2014

VMT: is the total vehicle miles traveled for the vehicle type, in miles per day, from EMFAC 2014.

3. The auto vehicle emission factors (**AVEF**, in grams of CO₂e per mile) were calculated for each year and county by multiplying the auto fuel consumption rate by the Well-to-Wheels carbon content factor for gasoline, which is 11,405.84 g CO₂e per gallon (Table C-2), using the following equation:

$$AVEF = 11.405.84 * AFCR$$

Transit Bus and Over-Road Coach Emission Factors

The transit bus/over-road coach (bus) emission factors (**BEF**) were derived using a similar method, as follows.

- 1. The statewide emissions each calendar year from 2017 through 2050 were downloaded from EMFAC 2014 with the following parameters:
 - a. Annual Average
 - EMFAC2011 vehicle categories UBUS for Transit Bus and Motor Coach (MC) for Over-Road Coach
 - c. All model years
 - d. Aggregated speed
 - e. Diesel fuel
- 2. The bus fuel consumption rate (**BCR**, in gallons of diesel per mile) was calculated using the total gallons of diesel fuel used by each vehicle category and model year divided by the total mileage by vehicle category and model year, using the following equation:

$$BCR_{diesel} = \frac{Fuel_Consumption_{(UBUS \ OR \ MC)} * 1,000}{VMT_{(UBUS \ OR \ MC)}}$$

- Diesel emission factors were developed using data as described in (a) below.
 Emission factors for other fuel types convert the diesel bus fuel consumption rate to the appropriate fuel type as described in (b).
 - a. Diesel: the bus emission factor (**BEF**, in grams of CO₂e per mile) for each calendar year and model year were obtained by multiplying the bus fuel consumption rate (**BCR**, in gallons per mile) by the Well-to-Wheels carbon content factor for diesel (13,718.04 g CO₂e per gallon) using the following equation:

$$BEF = 13.718.04 * BCR$$

b. Non-Diesel: For fuel types other than diesel, staff converted the diesel fuel consumption rate (**BCR**) from Step 2 to the equivalent bus emission factor (**BEF**, in grams of CO₂e per mile) using the following equation:

$$BEF_{new_fuel} = BCR_{diesel} * ED_{diesel} * \left(\frac{1}{ED_{new_fuel}}\right) * \left(\frac{1}{EER}\right) * CC_{new_fuel}$$

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Where:

BCR_{diesel} = Bus Consumption Rate for diesel, from Step 2 (gallons per mile)

ED_{diesel} = 134.48 MJ per gallon, from Table C-2

ED_{new fuel} = Energy density of the new fuel type (MJ per unit of new fuel), from

Table C-2

EER = Energy Economy Ratio (unitless), from Table C-3

CC_{new fuel} = Carbon Content of the new fuel type (grams of CO₂e per unit of

new fuel), from Table C-2

Cut-A-Way and Van Emission Factors

The alternative transit vehicle emission factors (ATEF) were derived using a similar method, as follows.

- 1. The statewide emissions each calendar year from 2017 through 2050 were downloaded from EMFAC 2014 with the following parameters:
 - a. Annual Average
 - b. EMFAC2011 vehicle categories LDH1 for Van and LHD2 for Cut-A-Way
 - c. All model years
 - d. Aggregated speed
 - e. Gasoline fuel
- 2. The alternative transit vehicle fuel consumption rate (ATCR, in gallons of gasoline per mile) was calculated using the total gallons of gasoline fuel used by each vehicle category and model year divided by the total mileage by vehicle category and model year, using the following equation:

$$ATCR_{gasoline} = \frac{Fuel_Consumption_{(LDH1 \ \textit{OR} \ LDH2)} \ * \ 1,000}{VMT_{(LDH1 \ \textit{OR} \ LDH2)}}$$

- 3. Gasoline emission factors were developed using data as described in (a) below. Emission factors for other fuel types convert the gasoline alternative transit vehicle fuel consumption rate to the appropriate fuel type as described in (b or c).
 - a. Gasoline: the alternative transit vehicle emission factor (ATEF, in grams of CO₂e per mile) for each calendar year and model year were obtained by multiplying the alternative transit vehicle fuel consumption rate (ATCR, in gallons per mile) by the Well-to-Wheels carbon content factor for gasoline (11,405.84 g CO₂e per gallon) using the following equation:

$$ATEF = 11,405.84 * ATCR$$

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b. Non-Gasoline (non-diesel): For non-diesel fuel types other than gasoline, staff converted the gasoline fuel consumption rate (ATCR) from Step 2 to the equivalent alternative transit vehicle emission factor (ATEF, in grams of CO₂e per mile) using the following equation:

$$ATEF_{new_fuel} = ATCR_{gas} * ED_{gas} * \left(\frac{1}{ED_{new_fuel}}\right) * \left(\frac{1}{EER}\right) * CC_{new_fuel}$$

Where:

ATCR_{gas} = Alternative Transit Vehicle Consumption Rate for gasoline, from

Step 2 (gallons per mile)

ED_{gas} = 115.83 MJ per gallon, from Table C-2

ED_{new fuel} = Energy density of the new fuel type (MJ per unit of new fuel), from

Table C-2

EER = Energy Economy Ratio (unitless), from Table C-3

 \mathbf{CC}_{new_fuel} = Carbon Content of the new fuel type (grams of CO_2e per unit of

new fuel), from Table C-2

c. Non-Gasoline (diesel): For diesel, staff converted the gasoline fuel consumption rate (ATCR) from Step 2 to the equivalent alternative transit vehicle emission factor (ATEF, in grams of CO₂e per mile) using the following equation:

$$ATEF_{diesel} = ATCR_{gas} * EER * ED_{gas} * \left(\frac{1}{ED_{diesel}}\right) * CC_{diesel}$$

Where:

ATCR_{gas} = Alternative Transit Vehicle Consumption Rate for gasoline, from

Step 2 (gallons per mile)

EER = Energy Economy Ratio (unitless), from Table C-3

ED_{gas} = 115.83 MJ per gallon, from Table C-2 ED_{diesel} = 134.47 MJ per gallon, from Table C-2

CC_{diesel} = Carbon Content of diesel (grams of CO₂e per gallon), from Table

C-2

Train Emission Factors

Train emission factors were derived using the following process.

1. A Train Consumption Rate (**TCR**, in gallons of diesel per mile) was calculated using the total gallons of diesel fuel used by 130 trains across the State in 2010 divided by the total mileage of those trains using the following equation:

$$TCR_{diesel} = \frac{Fuel\ Consumption}{VMT}$$

- 2. The diesel emission factor was developed using data as described in (a) below. Emission factors for other fuel types convert the diesel new service fuel consumption rate to the appropriate fuel type as described in (b).
 - a. Diesel: the train emission factor (**TEF**, in grams of CO₂e per mile) was obtained by multiplying the train fuel consumption rate (**TCR**, in gallons per mile) by the Well-to-Wheels carbon content factor for diesel (13,718.04 g CO₂e per gallon) using the following equation:

$$TDEF = 13,718.04 * TCR$$

b. Non-Diesel: For fuel types other than diesel, staff converted the diesel fuel consumption rate (**TCR**) from Step 2 to the equivalent new service emission factor (**TEF**, in grams of CO₂e per mile) using the following equation:

$$TEF_{new_fuel} = TCR_{diesel} * ED_{diesel} * \left(\frac{1}{ED_{new_fuel}}\right) * \left(\frac{1}{EER}\right) * CC_{new_fuel}$$

Where:

TCR_{diesel} = Train Consumption Rate for diesel (gallons per mile)

ED_{diesel} = 134.48 MJ per gallon, from Table C-2

ED_{new fuel} = Energy density of the new fuel type (MJ per unit of new fuel), from Table C-2

EER = Energy Economy Ratio (unitless), from Table C-3

CC_{new_fuel} = Carbon Content of the new fuel type (grams of CO₂e per unit of new fuel), from Table C-2